



Memphis Area Transit Authority (MATA)

2023 DBE Program Participation Status Report



Overview of the rules of engagement for MATA's program compared to the City MWBE Program

	City of Memphis	MATA's Program
Program Mission:	<ul style="list-style-type: none"> Increase the number of Minority, Women, and Small Business Enterprises certified and registered with the City of Memphis as well as to diversify spending in city contracting. Office of Business Diversity and Compliance (OBDC) 	<ul style="list-style-type: none"> DOT's DBE Program seeks to ensure nondiscrimination in the award and administration of DOT-assisted contracts in the Department's highway, transit, and airport financial assistance programs and to create a level playing field on which DBEs can compete fairly for DOT-assisted contracts. State governing body is TDOT
Regulated by:	<ul style="list-style-type: none"> Primarily governed by two Ordinances #5384 & #5366 passed by Memphis City Council 	<ul style="list-style-type: none"> The FTA Office of Civil Rights is responsible for monitoring FTA recipients' DBE programs and ensuring their compliance with DOT's DBE regulations found at 49 CFR Part 26. (Federal Legislation) FTA funded entities are required to set a triennial goal
Performance of Certifying Functions	<ul style="list-style-type: none"> That is the responsibility of the Office of Business Diversity and Compliance. However, the City does not certify DBE firms 	<ul style="list-style-type: none"> For MATA, MMBC is the central certification point for firms. As the DBE Compliance Officer, I sign off on the certification of firms. I have that responsibility, because only partners of TDOT certify DBE firms in Tennessee.
Contracting Opportunities	<ul style="list-style-type: none"> Nine major departments¹ under the Mayors' Administration where OBDC has contracting opportunities for MWSBEs 	<ul style="list-style-type: none"> Major areas where MATA contracts for services are in construction, engineering, IT, Insurance Brokerage, and Professional Services (Consulting).
Certified Firm Database management	<ul style="list-style-type: none"> Office of Business Diversity and Compliance (OBDC) 	<ul style="list-style-type: none"> MMBC maintains the detailed information about certified firms A portion of the information forwarded to TDOT (where I have access to the names, Federal NAICS² Code(s), the type of work performed by firms)

DBE Reporting Requirements

- The Disadvantaged Business Enterprise regulations require FTA recipients and transit vehicle manufacturers (TVMs) to use the new semi-annual Uniform Report (located at 49 CFR Part 26)
- FTA provides guidance on completing the ongoing payments portion of the Uniform Report.
- The Uniform Report must be submitted to FTA on June 1 and December 1 of each fiscal year.

Can recipients use set-asides or quotas as part of this program?

- **(a)** You are not permitted to use quotas for D.B.E.s on DOT-assisted contracts subject to this part.
- **(b)** You may not set-aside contracts for D.B.E.s on DOT-assisted contracts subject to this part, except that, in limited and extreme circumstances, you may use set-asides when no other method could be reasonably expected to redress egregious instances of discrimination. A Disparity Study is usually performed by an agency to make this determination.

Current DBE Goal

The current overall proposed DBE Goal submitted to the FTA is 17.00% for FY21 through FY23.

How the goal was established:

- A. ANTICIPATED PROJECTS FOR FISCAL YEARS 2021, 2022, & 2023
- B. Market Area Report
- C. Step One: Base Figure
 - ✓ Race-Neutral Considerations
- D. Step Two: Adjustments (Past DBE Accomplishments-2017 Shortfall)
- E. Race-Neutral Considerations
- F. Publication of the goal
- G. Public Comments

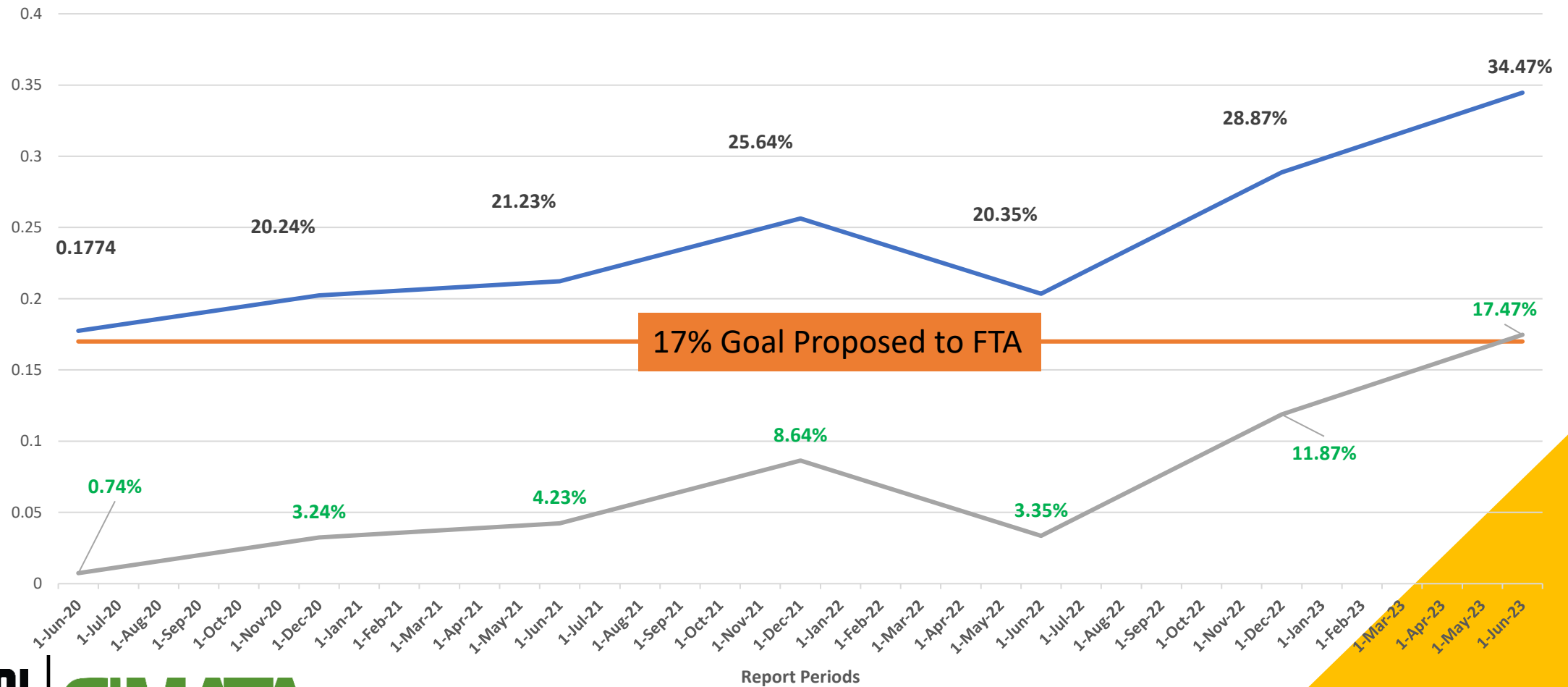
City	African-American Population %	Female Population %	% Living in Poverty	FY 2021-2023 Goal % proposed to FTA
Jackson, Mississippi	82.8%	54.0%	29.5%	2.5%
Detroit, Michigan	77.9%	53.9%	31.8%	9.0%
Birmingham, Alabama	68.7%	53.9%	25.5%	10.5%
Miami Gardens, Florida	66.8%	52.3%	16.0%	20.0%
Memphis, Tennessee	64.6%	52.6%	22.6%	17.0%
Baltimore, Maryland	61.6%	52.9%	20.3%	30.0%
Montgomery, Alabama	61.6%	52.9%	21.2%	1.5%
New Orleans, Louisiana	59.0%	52.5%	23.8%	30.0%
Flint, Michigan	56.7%	50.5%	35.5%	1.2%
Savannah, Georgia	52.3%	52.1%	19.8%	1.0%

The top ten cities in the U.S., with the largest African-American population with transit agencies

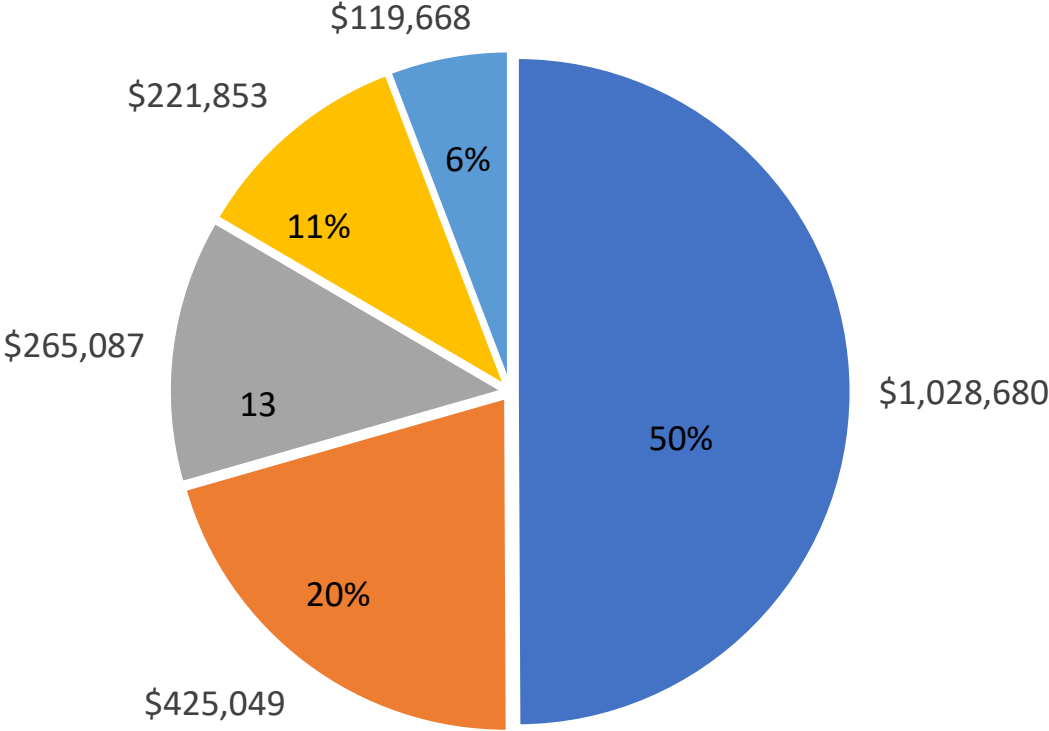
How Memphis & MATA Rank Among Peers

Average DBE Goal for Top 10 Cities' Transit Agencies	12.40%
Median DBE Goal for Top 10 Cities' Transit Agencies	9.80%
Memphis' % above Average DBE Goal with peers	4.60%
Memphis' % above Median DBE Goal with peers	7.20%
Memphis FTA Proposed DBE Goal Ranking among peers	4th
Memphis African-American Pop. % Ranking	5th
Memphis Female Pop. % Ranking	6th
Memphis Poverty Rate Ranking	6th

2020-2023 DBE Participation Achieved



Highest DBE Spend by Industry

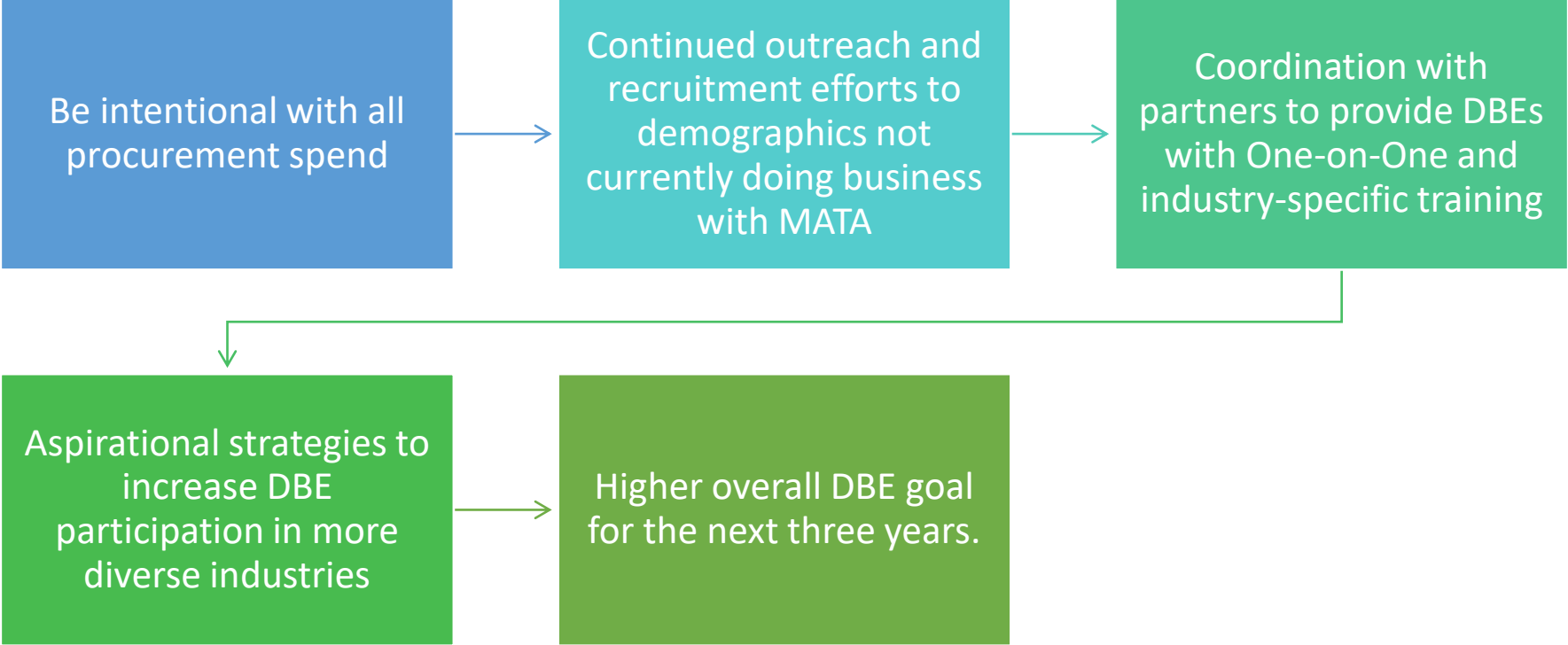


- Computer-Related Services
- Commuter Rail System Services
- Janitorial Services
- Construction Services
- Other Industries

Breakdown by Ethnicity & Gender of Contracts Awarded to DBEs This Period

	Total to DBE (dollars) - Women	Total to DBE (dollars) - Men	Total to DBE (dollars)	Total to DBE (number) - Women	Total to DBE (numbers) - Men	Total to DBE (numbers)
Black Americans	\$0	\$960,259	\$960,259	0	7	7
Hispanic Americans	\$0	\$0	\$0	0	0	0
Native Americans	\$0	\$0	\$0	0	0	0
Asian-Pacific American	\$0	\$0	\$0	0	0	0
Subcontinent Asian American	\$18,284	\$794,451	\$812,735	1	2	3
Non-Minority		\$0	\$0	4	0	4
Total	\$18,284	\$1,754,710	\$1,772,994	5	9	14

Potential for Improvement



Aspirational strategies to increase DBE participation and presence

CHICAGO TRANSIT AUTHORITY & SOUTHEASTERN PENNSYLVANIA TRANSPORTATION AUTHORITY SIGN GROUNDBREAKING AGREEMENT:

1. THE GOAL OF THIS MOU IS TO MOVE TOWARD BROADER RECIPROCITY AND EVEN A NATIONAL CERTIFICATION DATABASE
2. MAY CREATE NEW MARKETS FOR SMALL MINORITY AND WOMEN-OWNED BUSINESSES
3. EXPAND THE VENDOR POOL FOR TRANSIT OR AIRPORT AUTHORITIES.
4. COULD INCREASE THE PERCENTAGE OF DBE PARTICIPATION IN FEDERALLY FUNDED CONTRACTS
5. COULD INCREASE COMPETITION AND FOSTER IMPROVEMENT AMONG DBE FIRMS

Questions??